

APPENDIX A



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Use 2 postcards for all New Applications (including cont/Div/CIP)

<u>Use this sheet when filing CPA or RCE</u>

Appln. No: 10/797,570	Atty: Kerry T. Hartman/smw
First Inventor: RALPH BRINKHOF	Date: 03/31/04
Title: ASSEMBLY COMPRISING A SENSOR FOR DETERMINING AT LEAST ONE OF TILT AND HEIGHT OF A SUBSTRATE, A METHOD THEREFOR AND A LITHOGRAPHIC	Attorney Docket No: 081468-0308684
PROJECTION APPARATUS	

ENCLOSED:

1 No. of Priority Documents

Cited Document

(foreign only)

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RECEIPT FROM FOR INDICATED ITEMS

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Appln. No: 10/797,570		Atty: Kerry I. Hartman/smw
First Inventor: RALPH BRINKHOF	Date: 03/31/04	
Title: ASSEMBLY COMPRISING ASFOR DETERMINING AT LEAST ON AND HEIGHT OF A SUBSTRATE, ATTHEREFOR AND A LITHOGRAPHIC PROJECTION APPARATUS	IE OF TIL A METHO	T
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1 No. of Priority Documents		
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PATENT APPLICATION



Client Reference: P-1525.010-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

RALPH BRINKHOF, et al.

Group Art Unit: TBA

Application No.: 10/797,570

Examiner: TBA

Filed: March 11, 2004

Confirmation No.: TBA

For: ASSEMBLY COMPRISING A SENSOR FOR DETERMINING AT LEAST ONE OF

TILT AND HEIGHT OF A SUBSTRATE, A METHOD THEREFOR AND A

LITHOGRAPHIC PROJECTION APPARATUS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each foreign reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference(s) has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of the first Office Action on the merits in the present application. No certification or fee is required.

The reference(s) was/were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.

Respectfully Submitted,

Kerry T. Hartman

Registration Number 41818 Customer Number: 00909

Date: March 31, 2004

PILLSBURY WINTHROP LLP Telephone: (703) 905-2000 Facsimile: (703) 905-2500

P.O. Box 10500 McLean, VA 22102 SEP 0 2 2005

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)
Sheet 1 of

Attorney Docket Number 081468-0308684

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code ^{2 (if known)}		0 1:1		
		us- 5,412,214	May 2, 1995	Suzuki et al.		
		^{US-} 6,245,585 B1	June 12, 2001	Fujimoto		
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т6
			12	09/2000	Jasper et al.		

	Date	
Examiner	Considered	
Signature		i ii ii ii a saafaamaaa aad aat

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Topolation is standard.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b (08-03)
Approved for use through 06/30/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for	ostitute for form 1449B/PTO			Complete if Known		
0000000				Application Number	10/797,570	
	RMATION DI			Filing Date	03/11/2004	
STAT	STATEMENT BY APPLICANT		First Named Inventor	RALPH BRINKHOF		
				Art Unit	ТВА	
	(Use as many sheets as necessary)		Examiner Name	ТВА		
Sheet	1 1	of	1	Attorney Docket Number	081468-0308684	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		"European Search Report dated September 19, 2003"	
Examiner		Date Considered	

Signature

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Europäisches Patentamt

> Zweigstelle in Den Haag Recherchenabteilung

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Branch at The Hague Search division Office européen des brevets

Département à La Haye Division de la recherche

Jorritsma, Ruurd Nederlandsch Octrooibureau Scheveningseweg 82 P.O. Box 29720 2502 LS Den Haag PAYS-BAS

RAPP client

Nederlandsch Octrooibureau

Ingekomen 2 0 KT 2003

Paraaf Bewerker

Datum/Date

06.10.03

'eichen/Ref./Réf. P206092EP Anmeldung Nr./Application No./Demande n°./Patent Nr./Patent No./Brevet n°.

03075704.1-2208-

nmekter/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire ASML Netherlands B.V.

COMMUNICATION

The European Patent Office herewith transmits as an enclosure the European search report for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

The following specifications given by the applicant have been approved by the Search Division:

X abstract

X title

The abstract was modified by the Search Division and the definitive text is attached to this communication.

The following figure will be published together with the abstract:

4



REFUND OF THE SEARCH FEE

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.





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EUROPEAN SEARCH REPORT

Application Number

EP 03 07 5704

		ERED TO BE RELEVAN	I	
Category	Citation of document with in of relevant pass	ndication, where appropriate, ages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.CI.7)
D,A	EP 1 037 117 A (ASM 20 September 2000 (* page 12, line 28 figure 13 *	2000-09-20)	1-16	G03F9/00
D,A	US 5 412 214 A (SUZ 2 May 1995 (1995-05 * the whole documen		1-16	·
Α	US 6 245 585 B1 (FU 12 June 2001 (2001- * abstract; figures	06-12)	1-16	
				TECHNICAL FIELDS SEARCHED (Int.CI.7)
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	The present search report has	been drawn up for all claims		
	Place of search	Date of completion of the sea		Examiner
X : part Y : part doc: A : tech	MUNICH CATEGORY OF CITED DOCUMENTS ticularly relevant if taken alone ticularly relevant if combined with another to the same category innological background in-written disclosure rmediate document	E : earlier pat after the fil her D : document L : document	principle underlying the ent document, but pulling date cited in the application cited for other reason	on or s

ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 03 07 5704

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

19-09-2003

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